

# UNIVERSITY OF CALIFORNIA SANTA BARBARA

## FFATA Purchasing Reporting Form

### I. VENDOR INFORMATION (To be completed by Vendor)

Vendor Name:	DUNS #:
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#### A. Instructions

Vendors must complete Section I of this form and register with [Central Contractor Registration \(CCR\)](#) to be issued a purchase order in the amount of \$25,000 or greater when the fund source is subject to the Federal Funding Accountability and Transparency Act (FFATA). Registration status must be "active" and can be verified using the company's nine digit DUN's number (no dashes) in the CCR "[Simple Search](#)."

#### B. Certification

Part 1. The following (Bi-iii):      Do Not Apply              Do Apply

The vendor in its preceding fiscal year received:

- i. 80 percent or more of its annual gross revenues in Federal awards ; **AND**
- ii. \$25,000,000 or more in annual revenues from the Federal awards; **AND**
- iii. The public does NOT have access to information about the compensation of the senior executives of the entity through periodic reports filed under section 13(a) or 15(d) of the Securities and Exchange Act of 1934 (15 U.S. C. 78m(a), 78o(d) or section 6104 of the Internal Revenue Service Code of 1986 [26 USC 6104]

Part 2. If the conditions listed in Part 1 "Do Apply" list of the names and total compensation\* of the five (5) most highly compensated officers

Name:	Compensation:
1.	
2.	
3.	
4.	
5.	

Name of Preparer:	Title:
Signature:	Date:

\*For a helpful chart of the [Top Five Compensated Officers Decision Tree](#)

**Note:** "Total compensation" means the cash and noncash dollar value earned by the executive during the subrecipient's past fiscal year of the following (for more information see 17 CFR 229.402 ©(2)).

1. Salary and Bonus
2. Award of stock, stock options, and stock appreciation rights. Use the dollar amount recognized for financial statement reporting purposes with respect to the fiscal year in accordance with FAS 123R
3. Earnings for services under non-equity incentive plans. Does not include group life, health, hospitalization, or medical reimbursement plans that do not discriminate in favor of executives, and are available generally to all salaried employees.
4. Change in pension value. This is the change in present value of defined benefit and actuarial pension plans.
5. Above-market earning of deferred compensation which are not tax-qualified
6. Other compensation. For Example, severance, termination payments, value of life insurance paid on behalf of the employee, perquisites or property if the values for the executive exceed \$10,000.

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### VENDOR AWARD INFORMATION (To be completed by the administering UCSB department)

Prime Contract #:	SubContract #: (if applicable)	
Top Level Agency Name and ID #:		
Description of Purchase (Max 4,000 characters):		
Department:	Department Contact:	Ext:

### PURCHASE ORDER INFORMATION (To be completed by Purchasing)

UCSB Purchase Order #:	PO Date:	Amount:
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